ROUND INFINITY

FOR WEALTH MANAGERS, BROKERAGES AND PRIVATE BANKS

Move your wealth management to agentic.



AI agents prepare every advisor, onboard every client and check every move. Your people approve what matters.

01 **A brief before every client review**

02 **Onboarding that chases itself**

03 **Every move checked and recorded**

Round Infinity, Inc.

From manual to agentic.

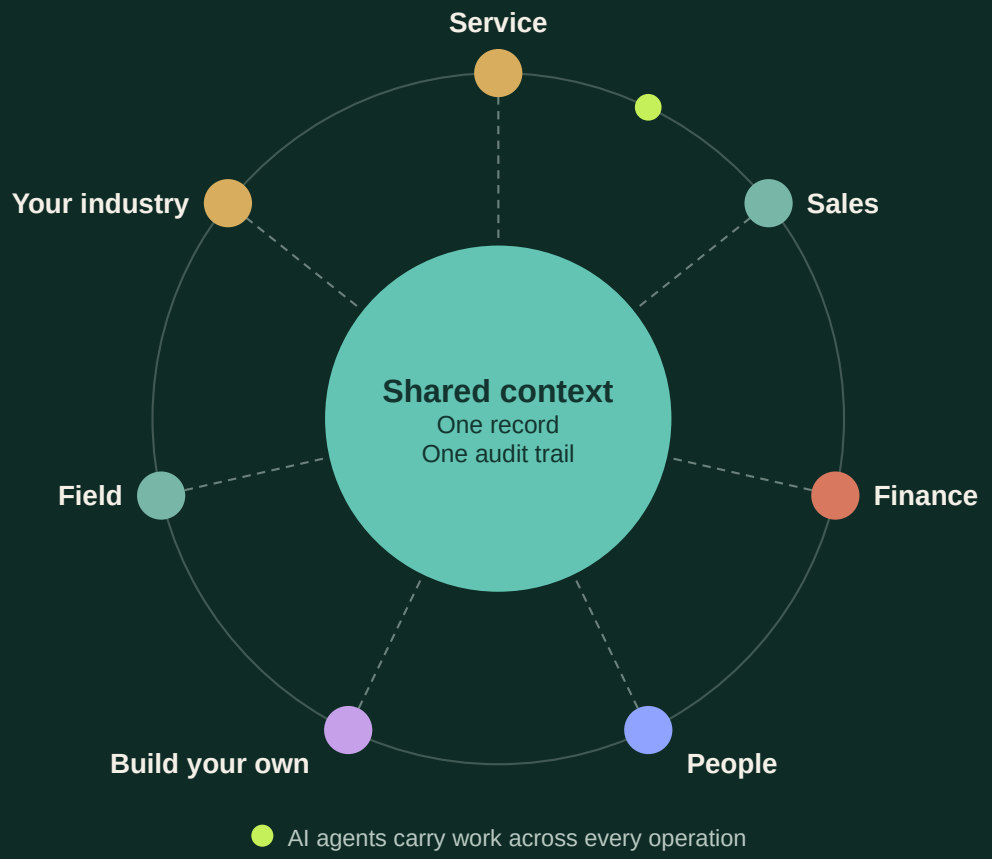
TODAY		WITH ROUND INFINITY
Advisors build meeting prep by hand	→	A brief is ready before every review
Onboarding stalls on missing documents	→	The agent reads, pre-fills and explains what is missing
Routine requests wait in a queue	→	Routine needs resolved at once; judgment calls routed with context
Compliance reviews after the fact	→	Advice and communications checked against suitability and conduct rules



Every operation on one shared context.

Your industry's workflows and your core operations run on one shared context. An agent that picks up a task sees every customer, case, contract and payment it touches, and acts across your systems.

One record	Customers, documents and decisions stay connected.
One audit trail	Every action, by an agent or a person, is recorded.
AI agents that act	They prepare, decide within limits and hand off.
People in control	Approvals sit where judgment matters.



One client journey, end to end.

Five steps. Pack apps hold the work, AI agents do it, people decide, and a Round Infinity use case completes each step.

01 ATTRACT

Spot life events and reach the right households

AI AGENT

Client Engagement

Spots life events worth a call and drafts personal outreach.

APP Household 360

PEOPLE Advisors choose who to call.

USE CASE **Prospecting · Sales Execution**

→

02 ONBOARD

Open accounts with documents read for you

AI AGENT

Wealth Onboarding

Reads documents, pre-fills forms and explains what is missing.

APP Account Opening & Suitability

PEOPLE Advisors approve suitability.

USE CASE **KYC & Onboarding**

→

03 ADVISE

Walk into every review prepared

AI AGENT

Advisor Preparation Copilot

Builds a brief with holdings, activity and talking points.

APP Advisor Workbench · Goals & Planning

PEOPLE Advisors give the advice.

USE CASE **Appointment Scheduling**

→

04 SERVE

Resolve requests and move money safely

AI AGENT

Client Service · Investment Operations

Answers clients and checks money movement against limits.

APP Money Movement · Client Portal

PEOPLE Operations approves transfers.

USE CASE **Service**

→

05 SUPERVISE

Supervise advice and communications

AI AGENT

Compliance Review

Checks advice and messages against suitability and conduct rules.

APP Compliance Review

PEOPLE Supervisors clear exceptions.

USE CASE **Legal Operations**

04 ADVISE

Every review, prepared.

The Advisor Preparation Copilot builds one brief before every client meeting. Appointment Scheduling books and reminds the review.

- Holdings
- Recent activity
- Life events
- Open requests
- Suggested talking points

POWERED BY

WEALTH PACK — Advisor Workbench · Preparation Copilot

+ USE CASE — Appointment Scheduling

PREPARED BY AI AGENT

Illustrative

Annual review · Mehta household

Thursday 10:00 · booked and reminded · 4 accounts

HOLDINGS	Synced from custodian this morning
ACTIVITY	Transfer-in completed · two statements viewed
LIFE EVENT	Daughter starts university in September
OPEN REQUEST	Beneficiary update awaiting signature

TALKING POINTS

Education funding goal for the September start

Cash allocation drifted above the household model

Six wealth agents. Each with a partner.

AGENT 01

Wealth Onboarding

Reads client documents, pre-fills forms and explains what is missing.

+ KYC & Onboarding

AGENT 02

Advisor Preparation Copilot

Builds briefs with holdings, activity, life events and talking points.

+ Appointment Scheduling

AGENT 03

Client Service

Answers balance and statement questions; completes approved changes.

+ Service

AGENT 04

Investment Operations

Checks money movement against limits and verification rules.

+ Service

AGENT 05

Compliance Review

Reviews advice and communications against suitability and conduct rules.

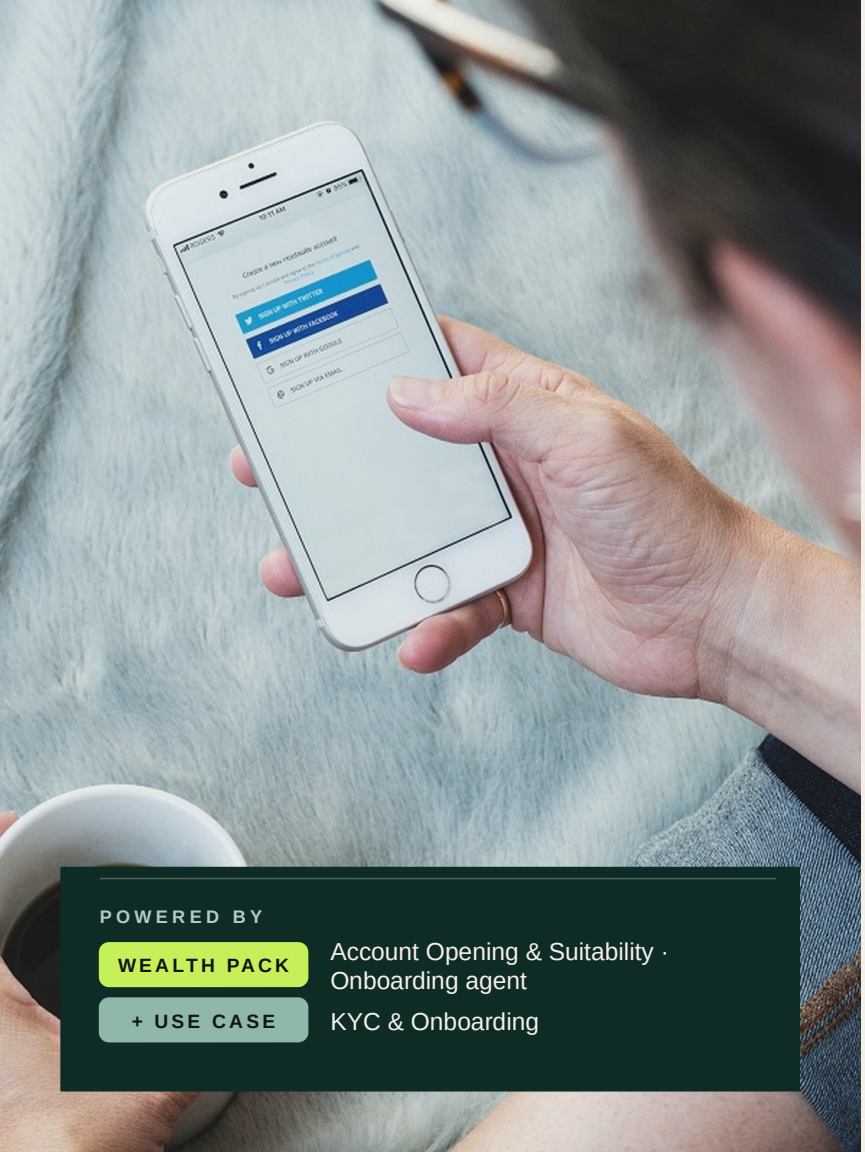
+ Legal Operations

AGENT 06

Client Engagement

Spots life events worth a call and drafts personal outreach.

+ Prospecting · Sales Execution



POWERED BY

WEALTH PACK — Account Opening & Suitability · Onboarding agent

+ USE CASE — KYC & Onboarding

06 ONBOARD

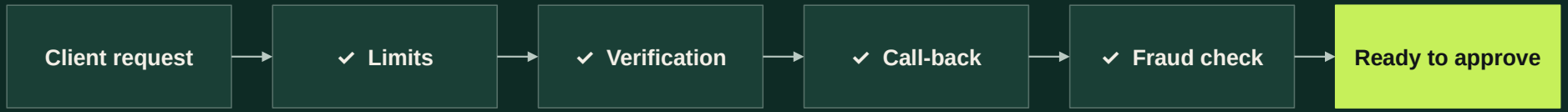
Onboarding, in the open.

Clients onboard online. The agent reads, verifies, pre-fills and chases, in plain language.

ACTIVITY · NEW ACCOUNT *Illustrative*

09:02	CLIENT	Uploaded ID, address proof and bank statement
09:03	AGENT	Read documents · pre-filled account and suitability forms
09:05	AGENT	Identity verified · KYC checks passed
09:20	AGENT	Trust deed missing — explained what is needed and why
10:05	ADVISOR	Approved suitability · agreements sent for e-signature
10:06	AGENT	Booked welcome review with the advisor
11:30	AGENT	Transfer-in started · tracking until funded

Money moves. Controls hold.



Serve

Requests arrive on any channel through Service. The Investment Operations agent checks them against limits and verification rules and prepares them for approval.

POWERED BY

WEALTH PACK — Money Movement · Investment Ops agent

+ USE CASE — Service

Supervise

The Compliance Review agent checks advice records and communications against suitability and conduct rules and flags exceptions for supervisors.

POWERED BY

WEALTH PACK — Compliance Review · Review agent

+ USE CASE — Legal Operations

Eight applications, built for wealth.

Household 360
Members, accounts, holdings, goals, relationships

Advisor Workbench
Book of business, reviews due, alerts, tasks

Account Opening & Suitability
Multi-account opening, risk profiling, records

Money Movement & Maintenance
Transfers, withdrawals, beneficiaries, changes

Compliance Review
Advice records, trade surveillance, supervision

Client Portal & Reporting
Statements, documents, requests, secure messages

Goals & Planning
Goals, cash flows, what-if scenarios

Model Portfolios & Rebalancing
Models, drift alerts, rebalancing proposals



Use cases that complete the picture.

All sixteen run on the same record, users and audit trail as the Wealth pack.

● In the Wealth pack

FINANCE

Accounts Payable
80% faster invoice processing

Procurement
Spend controlled before it is committed

● Accounts Receivable
Advisory fee invoices, collections and cash application.

● Financial Close
Reconciliations, journals and audit evidence for the firm.

PO to Cash
Clean orders, fewer delays

Travel & Expense
Policy enforced before money leaves

CUSTOMERS & REVENUE

● Service
Client requests resolved across every channel.

● Appointment Scheduling
Client reviews booked, reminded and checked in.

Field Service
Higher first-time fix, billed on site

● Prospecting
Research and engage prospects; hand warm households to advisors.

● Sales Execution
Proposals, agreements and renewals tracked to signed.

Online & In-Store Sales
More sales recovered, promises kept

PEOPLE & CONTROL

Recruitment
Faster hiring with explained shortlists

● KYC & Onboarding
Identity checks inside a controlled onboarding journey.

● Legal Operations
Agreements, obligations and deadlines managed.

PLATFORM

Build Your Own
From idea to live operation, fast

START WHERE IT HURTS

Pick the operation with the most delay, manual effort, customer friction, control risk or cash impact. Finish it end to end, then add the next.

Tracked from request to done.

WORKFLOWS

Account opening and transfer-in	Tracked through to funded
Annual review and suitability refresh	Prepared, held and recorded
Money movement approval	Limits, call-backs, fraud checks
Advice supervision	Review and sign-off of recommendations
Beneficiary and estate events	Sensitive changes, end to end
Rebalancing proposal	Drift → approval → trades to custodian

DASHBOARDS



Assets and net new money

By advisor and household



Reviews due and completed

Coverage of the client book



Service request TAT

By type and team

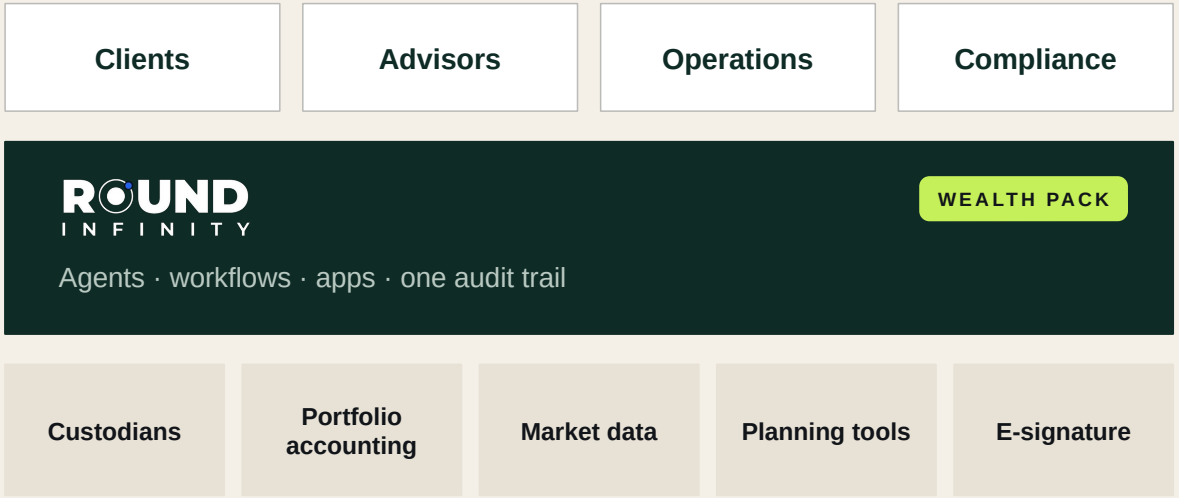


Supervision exceptions

Open and resolved

Works alongside your custodian.

Holdings and trades stay with the custodian. Round Infinity runs the work around them.



Your systems of record stay where they are

INCLUDED WITH EVERY SUBSCRIPTION

- AI Execution Engine
- AI Agent Builder
- Workflow Automation
- Customer 360
- Apps & Portals
- Integrations & APIs

Price the full journey, not just the pack.

Platform

Named users and the core platform

+

Wealth & Brokerage pack

Apps, agents, workflows and dashboards above

+

Use cases

KYC & Onboarding · Service · Sales Execution · Appointment Scheduling

+

Usage

AI, voice and messaging — pay as you use

One platform, one data model, one user set, one audit trail. The pack and your use cases share all of it.

Price your operation → roundinfinity.com/pricing

ROUND INFINITY

NEXT STEP

See it run on your book of business.

01	**Walkthrough**	Map your onboarding, reviews and money movement
02	**Demo**	See the agents work on your process
03	**Quote**	Platform, pack and usage — sized to you

Book a demo →

roundinfinity.com